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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/014,412	12/14/2001	Naohiro Furukawa	HITA.0132	9775

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REED SMITH LLP  
3110 FAIRVIEW PARK DRIVE, SUITE 1400  
FALLS CHURCH, VA 22042

EXAMINER

BACKER, FIRMIN

ART UNIT PAPER NUMBER

3621

DATE MAILED: 10/31/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/014,412

Applicant(s)

FURUKAWA, NAOHIRO

Examiner

FIRMN BACKER

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 04 August 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 10-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 10-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States.

2. Claims 10-22 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Lynn (U.S. PG Pub No. 2002/015031 1).

3. As per claim 10, 21 and 22 Lynn teaches a document processing system comprising an input unit for reading a storing means on a hardcopy document, a document processing information extracting unit for extracting encoded document processing information which includes a form or format information of the hardcopy document or at least one hardcopy document handling procedure to be executed in connection with the hardcopy document stored in the storing means; and a document processor for executing document handling procedure wherein the at least one hardcopy document handling procedure includes identifier document and identifying document format (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

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4. As per claim 11, Lynn teaches a document wherein the input means includes an image input means for reading a document image (*see abstract fig 1, item 110*).

5. As per claim 12, Lynn teaches a document processing system wherein the document processing means executes the document handling procedure by reading character strings stated on the document in accordance with the document processing information (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

6. As per claim 13, Lynn teaches a document processing system wherein the item is a bill for payment or a commercial paper (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

7. As per claim 14, Lynn teaches a document processing system further comprising: an embedding medium identification means for identifying whether any embedding medium embedded with the document processing information is on the document; and document processing information database retrieving means for retrieving a set of document processing information from a document processing information database of a document provider so as to assign the set of document processing information to the document, if the embedding medium identification means does not identify any embedding medium embedded with the document processing information on the document (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

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8. As per claim 15, Lynn teaches a document processing system wherein said database is stored in the document processing system or in a network to which said document processing system is linked (*see abstract fig 1, item 110*).

9. As per claim 16, Lynn teaches a document processing system further comprising a document processing information defining means for defining a new set of document processing information to be applied to the document if the retrieving means can not retrieve any set document processing information in the database of the document provider (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

10. As per claim 17, Lynn teaches a document processing system wherein the set of document processing information is selected from the database based upon a degree of similarity between a document format associated with the set of document processing information and a document image entered through an image input means (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

11. As per claim 18, Lynn teaches a document processing system wherein the system notifies the document provider the newly-defined set of document processing information so as to be included in the database or to be embedded in other document by the document provider (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

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12. As per claim 19, Lynn teaches a document processing system comprising a document processing charge billing means for differentiating charges billed to the document issuer according to whether document processing information is stored on the (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

13. As per claim 20, Lynn teaches a document generating software product, comprising: a communication module for enabling a prospective document user wishing to have a document made to notify a document generator of requirements regarding a desired document layout and a desired document handling procedure; a document layout making module for making a document layout according to the requirements from said prospective document user; a document candidate presenting module for presenting to the prospective document user document candidates made by the document layout making module; a document selecting module for letting the prospective document user select a document out of the document candidates presented by the document candidate presenting module, a document processing information determining module for determining document processing information including a form or format information of the selected document candidate or the desired hardcopy document handling procedure a storing means module for selecting a storing means, encoding the document processing information and for storing the encoded document processing information in the storing means and a document processor for printing on or embedding the storing means on the hardcopy document and for executing the desired hardcopy document handling procedure in connection with the hardcopy document wherein said hardcopy document

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handling procedure includes identifying document and identifying document format (*see paragraphs 0022, 0023, 0024, 0026, 0027, 0028, 0030, 0046, 0047, 0048, 0059*).

### ***Response to Arguments***

14. Applicant's arguments filed August 4<sup>th</sup>, 2005 have been fully considered but they are not persuasive.

a. Applicant argues that the prior art (Lynn) fail to teach or suggest "identifying document format". Examiner respectfully disagrees with Applicant characterization of the prior art. Lynn teach a system wherein an image or images from image repository may be loaded into main memory and/or a cache memory storage location for viewing by digital filing application and/or web browser. According to Lynn's disclosure, *the images will be stored using industry standard formats such as portable document format (PDF) or tagged image file format (TIFF). They are many options for viewing images, including readily available system software and viewers that can be downloaded from the internet.* Lynn also teach that the use of PDF and TIFF is not exhaustive, it is anticipated that various types of image formats may be used in various preferred embodiments of the invention (*see paragraphs 0045*). Therefore the rejection is sustained.

***Conclusion***

15. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

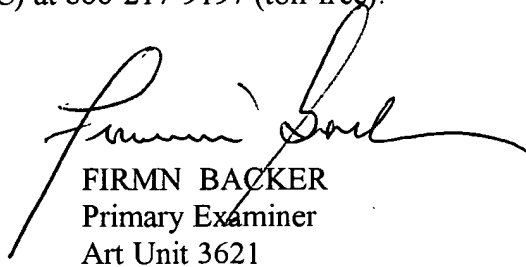
Any inquiry concerning this communication or earlier communications from the examiner should be directed to FIRMIN BACKER whose telephone number is 571-272-6703. The examiner can normally be reached on Monday - Thursday 9:00 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on (571) 272-6712. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



FIRMIN BACKER  
Primary Examiner  
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October 13, 2005